

GUIDELINES GOVERNING AWARD OF SPECIAL INCENTIVE TO NON-GOVERNMENTAL ORGANIZATIONS

Background

The Scheduled Tribes constitute 8.08% of the country's population, who still continue to be in a state of ignorance backwardness and poverty. The rural – urban figures of Scheduled Tribes living below the poverty line which is 51.94% and 41.14%, compares adversely to the general rural – urban poverty ratio of 37.27% and 32.36%. Literacy indicators are also no better. While the percentage of general male & female literacy is at 52.74% and 32.17%, the percentage of literacy amongst the Scheduled Tribes is 32.50% and 14.50%.

2. In keeping with the mandate of the Government for accelerated socio-economic development of the Scheduled Tribes, the Ministry has been implementing the scheme of ;

- (a) Grant in aid to Voluntary Organizations working for the welfare of the Scheduled Tribes;
- (b) Educational complex in low literacy pockets for development of women literacy in tribal areas; and
- (c) Vocational Training Center in tribal areas through Non-Governmental Organizations (NGOs)

These schemes aim at improving the educational and socio-economic conditions of the Scheduled Tribes through voluntary efforts.

3. It has been experienced that some projects sanctioned to the organizations suffer due to lack of infrastructure facilities. It is an established fact that most of the tribal communities live in far flung inaccessible areas where suitable infrastructure to accommodate the projects is hardly available with the result that organizations are compelled to squeeze their activities depending upon the available space which other-wise is hardly suitable for the activity. Most of the organizations including the organizations of repute like R.K.Mission, Bharat Sevashram Sangha, Bharatiya Adimjati Sevak Sangh and the like which have been implementing the projects under the scheme over the years have represented to Government of India repeatedly to assist them in infrastructure building.

4. The all India conference on the "Role of Voluntary Sector in National Development" was held on 20-4-02 at the instance of the planning commission which besides others also recommended that :

- (a) "While in general, the financial assistance to Vos will be programme or project based, institutional support to develop its infrastructure is not ruled out particularly for lead Vos, to enable them to raise their outreach capacity under a medium to long term project.
- (b) In promoting GO-VO partnership and capacity building of Vos, the policy objective is to gradually enable the Vos to stand on their own as credible civil society organizations

and capable of improving the self-governing institutions and the concerned departments

5. In pursuance to the advice of the Planning Commission communicated through D.O.No.M-99/10(6)/2002-VAC, dt 18-7-2002 of Sri P.Sarkar, Secretary, Planning Commission to the Secretary, Ministry of Tribal Affairs these guidelines are formulated to govern the sanction of grant as special incentives to NGOs doing exemplary service in the field of tribal development.

6. Eligible Organizations

The grant in aid as Special Incentives – GSI – shall be awarded to the Established Voluntary Agencies (EVAs) identified by the Ministry. The other Non-Governmental Organizations will also be entitled GSI provided they have been implementing the projects of the ministry for a period not less than five years successfully.

7. Procedure for application

The organizations desirous of applying for GSI shall be required to make an application in the prescribed format as given at Annexure-X and send the same directly to the Secretary, Ministry of Tribal Affairs, before 31st January of the financial year. The proposals concerning to GSI for building construction purposes should invariably be supported with details of land over which construction is proposed along with land records confirming the ownership of the land in the name of the organization, the budget estimates, plan etc of the building should be certified by local State Public Works Department / CPWD authorities. The applications received up to the due date will be examined by a Committee constituted by the Ministry for the purpose and an approval of the proposal by the Ministry: GSI will be released before 31st March of the financial year.

8. Selection of organization

i) There shall be an internal committee of the ministry to identify the suitable project proposals of the organizations for sanctioning of GSI to be given and any other matter connected with the grant towards award of GSI to NGOs. The committee will consist of the following

- | | | | |
|-----|--|----|------------------------------------|
| (a) | JS(TA) | -- | Chairman |
| (b) | 2 Directors/Jt.Directors of the Ministry | - | Members |
| (c) | Representatives of IFD | - | Member |
| (d) | Director(NGO) | - | Member Secretary |
| (e) | Any other member as special invitee | - | (With prior approval of Secretary) |

ii) The committee shall identify the proposals from eligible organizations for grant of GSI, which will increase their outreach to areas hitherto not covered, due to lack of infrastructure.

9. Availability of fund

The guidelines will govern the sanction against allocation earmarked for “Award for exemplary

service” of the ministry. The tentative allocation approved by Planning Commission for tenth five year plan are:

Year	Allocation (Rs.in lakhs)
2002-2003	50.00
2003-2004	60.00
2004-2005	60.00
2005-2006	60.00
2006-2007	60.00
TOTAL:	290.00

10. Extent of grants as special incentive and funding pattern

(a) The GSI to the organizations will be against specific project to be implemented by them, for institutional support to develop their infrastructure enabling them to raise outreach capacity and improving the delivery of services, in addition to recovery costs.

(b) The GSI would be a one time block grant of non-recurring nature. Any maintenance cost required on asset / facility developed from the GSI has to be borne by the organization.

© The extent of GSI shall be as per proposal of the organization not exceeding Rs. 10.00 lakhs for each project.

(d) An organization against an ongoing project will be granted GSI only once.

(e) The amount of GSI approved by the Ministry to the organization shall be released in two/three equal installments. The first installment shall be sanctioned after approval of the proposals by the ministry and the next installments after submission of utilization certification of the GSI released previously along with supporting audited accounts and receipt of the Inspection Report on the work done from State Government / District Collector or Ministry Officials.

(f) The extent of GSI would be 100% for the organization working in the scheduled areas and 90% for organizations working in the areas other than scheduled areas.

(g) The terms & conditions of the sanction of grant as GSI shall be the same as prescribed for the scheme against which the on-going project is being supported by the Ministry.

11) Monitoring & Evaluation

The Monitoring and Evaluation of the GSI shall be done as per procedure laid down / prescribed under the scheme governing the on-going project which is intended to be implemented

through GSI sanctioned by the Ministry against the proposal. The grantee institution would be required to submit progress cum achievement report with regards to progress of construction and utilization of grant, on quantity basis.

Ministry of Tribal Affairs

APPLICATION FORM FOR AWARD OF GRANT IN AID AS SPECIAL INCENTIVE (GSI) TO NON-GOVERNMENTAL ORGANIZATIONS

(Only the Establishment Voluntary Organizations (EVAs) declared by the Ministry and organizations receiving grant from the Ministry for a period over five years, are eligible to apply)

- 1 Name of the office bearers of the organization and address for correspondence :
- 2 Status of the organization : Establishment Voluntary Agency (EVA) declared by the Ministry / Voluntary Organization receiving grant of the ministry for more than five years
- 3 Brief Particulars of projects of the Ministry undertaken and the amount received in last five years

S.No	Name of the scheme	Project (s) undertaken	Amount received in last five years				
1	GIA to NGO						
2	Educational Complex						
3	Vocational Training Center						
TOTAL:							

- 4 (a) Statement of the proposal for Award of Special Incentive (In not more than 30 words) (Attach a copy of detailed proposal) :
- (b) Amount involved in the proposal (Attached detailed budget of the proposal separately) :
- (i) Grant as special incentive :
- (ii) Organization's contribution :
- (iii) Total :

© How do you feel that proposal will :
raise the outreach capacity and the
delivery services of the organization
through the strengthening of the
scheme, if proposal is accepted (in fifty
words only)

UNDERTAKING

I, _____ (name of)
_____ (address) and Secretary / President /
Trustee of the _____ (name of the
organization) shall abide by Terms & Conditions governing the sanction of grant by the Ministry
against my proposal and I or my successor (s) shall be liable for any action as established by law,
rules in force for mis-utilization of grant, if found by the Ministry.

Signature :

Name :